Millions of Americans living in vulnerable rural and urban communities depend upon their hospital as an important, and often only, source of care. However, these communities and their hospitals face many challenges. As the hospital field engages in its most significant transformation to date, some communities may be at risk for losing access to health care services. It will be necessary for payers and health care providers to work together to develop strategies that support the preservation of health care services for all Americans.

Recognizing this, the American Hospital Association (AHA) Board of Trustees, in 2015, created a task force to address these challenges and examine ways in which hospitals can help ensure access to health care services in vulnerable communities. The task force considered a number of integrated, comprehensive strategies to reform health care delivery and payment. Their report sets forth a menu of options from which communities may select based on their unique needs, support structures and preferences. The ultimate goal is to provide vulnerable communities and the hospitals that serve them with the tools necessary to determine the essential services they should strive to maintain locally, and the delivery system options that will allow them to do so. While the task force’s focus was on vulnerable communities, these strategies may have broader applicability for all communities as hospitals redefine how they provide better, more integrated care.
**Characteristics and Parameters of Vulnerable Communities**

The task force defined a vulnerable community as a population that, due to their individual circumstances, is much more likely to be in poor health and have disabling conditions. While the reasons a population may be deemed vulnerable vary widely, the task force found there is no formulaic, defined set of factors that can determine whether or not a community is vulnerable. However, they created a list of characteristics and parameters, of which one or more may be necessary and sufficient to identify a vulnerable rural or urban community.

**Characteristics and Parameters of Vulnerable Rural Communities**
- Declining population, inability to attract new businesses and business closures
- Aging population

**Characteristics and Parameters of Vulnerable Urban Communities**
- Lack of access to basic “life needs,” such as food, shelter and clothing
- High disease burden

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**Essential Health Care Services**

The range of health care services needed and the ability of individuals to obtain access to health care services varies widely in each community. The task force determined, however, that access to a baseline of high-quality, safe and effective services must be preserved. Table 1 below highlights the essential health care services identified by the task force and illustrates those which may be maintained or enhanced by each emerging strategy.

<table>
<thead>
<tr>
<th>Table 1</th>
<th>Primary care</th>
<th>Psychiatric and substance use treatment services</th>
<th>ED and observation care</th>
<th>Prenatal care</th>
<th>Transportation</th>
<th>Diagnostic services</th>
<th>Home care</th>
<th>Dentistry services</th>
<th>Robust referral structure</th>
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<td>Emerging Strategy</td>
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<td>Rural Hospital-Health Clinic Strategy</td>
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<td>Indian Health Services Strategies</td>
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November 29, 2016
Emerging Strategies to Ensure Access to Health Care Service

Addressing the Social Determinants of Health
Social challenges often prevent individuals from accessing health care or achieving health goals. This strategy includes screening patients to identify unmet social needs; providing navigation services to assist patients in accessing community services; and encouraging alignment between clinical and community services to ensure they are available and responsive to patient needs.

Virtual Care Strategies
Virtual care strategies may be used to maintain or supplement access to health care services. These strategies could offer benefits such as immediate, 24/7 access to physicians and other health care providers, the ability to perform high-tech monitoring and less expensive and more convenient care options for patients.

Global Budgets
Global budgets provide a fixed amount of reimbursement for a specified population over a designated period of time. They may be designed in a way that allows each provider to create a unique plan to meet mandated budgets, thereby allowing vulnerable communities autonomy and flexibility to create solutions that work best for them.

Frontier Health System
This strategy addresses challenges faced by frontier communities, including extreme geographic isolation and low population density. It provides a framework for coordinated health care as individuals move through primary and specialized segments of the medical system.

Inpatient/Outpatient Transformation Strategy
This strategy involves a hospital reducing inpatient capacity to a level that closely reflects the needs of the community. The hospital would then enhance the outpatient and primary care services they offer.

Rural Hospital-Health Clinic Strategy
This strategy allows for integration between rural hospitals and various types of health centers in their communities (e.g., Federally Qualified Health Centers and Rural Health Clinics). These partnerships also could facilitate integration of primary, behavioral and oral health and allow for economies of scale between both organizations.

Emergency Medical Center (EMC)
The EMC allows existing facilities to meet a community’s need for emergency and outpatient services, without having to provide inpatient acute care services. EMCs provide emergency services (24 hours a day, 365 days a year) and transportation services. They also would provide outpatient services and post-acute care services, depending on a community’s needs.

Indian Health Services (IHS) Strategies
This strategy includes development of partnerships between IHS and non-IHS health care providers aimed at increasing access to health care services for Native American and Alaska Native Tribes and improving the quality of care available and promoting care coordination.

Urgent Care Center (UCC)
UCCs allow existing facilities to maintain an access point for urgent medical conditions that can be treated on an outpatient basis. They are able to assist patients with an illness or injury that does not appear to be life-threatening, but requires care within 24 hours.

To learn more about these strategies and explore case examples, please see the full report at www.aha.org/ensuringaccess.
Barriers to Implementation

The task force identified four types of barriers that could impede transitioning to or implementing these emerging strategies:

<table>
<thead>
<tr>
<th>Federal Barriers</th>
<th>State Barriers</th>
<th>Community Barriers</th>
<th>Provider Barriers</th>
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<tr>
<td>Many federal policies serve as barriers to successful implementation of these strategies. These include, but are not limited to, fraud and abuse laws and Medicare payment rules.</td>
<td>State laws also present barriers to implementation of these strategies. For example, issues related to clinician licensure across state lines must be addressed for broad implementation of virtual care strategies.</td>
<td>At the community level, the ability to attract or retain health care providers will remain a challenge, regardless of which of these strategies are selected. Community input, buy-in and acceptance will be critical for success as hospitals transition to these new strategies.</td>
<td>Transitioning to these new strategies also may be challenging. For example, it may take longer or require significant investments of time, effort and finances for providers to implement these strategies.</td>
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</table>

Advocacy Agenda and Assistance Strategy

Successful implementation of these emerging strategies by vulnerable communities is dependent on numerous public policy changes. The task force recommends that AHA develop an advocacy strategy to facilitate adoption of these emerging strategies. This includes advocating for:

- Creation of new Medicare payment methodologies and transitional payments, as appropriate, that would allow for successful implementation of the strategies identified above;
- Creation of new and expansion of existing federal demonstration projects;
- Modification of existing Medicare Conditions of Participation to allow for the formation of the strategies identified above, where necessary;
- Modification of laws that prevent integration of health care providers and the provision of health services;
- Modification of the existing Medicare payment rules that stymie health care providers’ ability to identify and place beneficiaries in the clinical setting that best serves their short- and long-term recovery goals; and
- Expansion of Medicare coverage and payment for telehealth.

Even with public policy changes, vulnerable communities and the hospitals that serve them may not have the resources they need to successfully adopt these emerging strategies. AHA will explore providing operational tools and resources to assist our member hospitals and health systems, including toolkits, data analyses, information on grant opportunities, and convening learning networks for information and idea sharing.

To learn more about the work of the AHA Task Force on Ensuring Access in Vulnerable Communities, please visit [www.aha.org/ensuringaccess](http://www.aha.org/ensuringaccess).
Barriers to Implementation
Discussion Guide for Health Care Boards and Leadership

The questions below can be used to guide discussion about the vulnerable populations your health care organization serves and steps your board and leadership can take to ensure ongoing access to health care services.

Use of this discussion guide can be tailored for your board’s needs as part of the agenda for a board education session, leadership retreat, or strategic planning session. The executive staff and all board members should be prepared to address local examples/information as part of this discussion.

1. The report defines a vulnerable community as “a population that, due to their individual circumstances, is much more likely to be in poor health and have disabling conditions” and page 2 of this summary lists characteristics that may make a community vulnerable. **Which of the populations or communities we serve could be considered vulnerable? And why?**

2. The essential health care services identified in Table 2 below, should be available in every community. **Which, if any, of these services are not available in the vulnerable communities our organization serves?**

3. **Which of the vulnerable communities we serve are less likely to have access to or are not likely to utilize one or more of these essential health care services?**

4. **Which of the emerging strategies identified on page 3 are most likely to further improve access to essential health care services for the vulnerable populations our organization serves?**

5. **What are the implications of implementing these strategies in our organization and community?** Some areas to consider include strategic compatibility, financial, clinical, workforce, technology, partnerships with other community organizations, and legal and regulatory compliance.

6. Several barriers to implementation are identified in the Task Force Report and many are listed on page 4. **Which of these barriers is our organization most likely to encounter? How can we as a board and as an organization advocate to overcome them?**

7. **What role(s) should our board play in gaining input, buy-in and acceptance from the community to implement new strategies for ensuring access?** Some examples include:
   » facilitate community conversations,
   » be prepared to respond to questions from community members, and
   » invite community leaders and organizations to provide input into our organization's strategic planning process.

8. **What assistance, such as education, tools, training and other resources, will our board need to effectively fulfill its community liaison role(s)?**

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**Table 2: Essential Health Care Services**

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<td>Primary care</td>
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<td>Robust referral structure</td>
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</tbody>
</table>

Please contact Priya Bathija at pbathija@aha.org to provide feedback about your board and leadership discussion.